Appendix
to the minutes No. 84 of the meeting of the
Management Board of KASE Clearing Centre JSC
dated October 6, 2026

KASE CLEARING CENTRE JSC

A p p r o v e d

by the decision of the
Management Board
of KASE Clearing Centre JSC
(minutes No. 84 of the meeting
dated October 6, 2026,)

Effective

as of October 7, 2026

INSTRUCTION

on the assignment of codes to clearing participants and other counterparties of KASE Clearing Centre JSC

Almaty

2026

This Instruction on assigning codes to clearing participants and other counterparties of KASE Clearing Centre JSC (hereinafter referred to as the Instruction) defines the procedure for assigning codes to clearing participants and other counterparties of KASE Clearing Centre JSC that are not members of Kazakhstan Stock Exchange JSC.

For clearing participants and other counterparties of KASE Clearing Centre JSC (hereinafter referred to as the Clearing Centre), which are members of Kazakhstan Stock Exchange JSC (hereinafter referred to as the Exchange), and their accounts, users of trading systems, issuers of securities and financial instruments, codes assigned by the Exchange are used.

Chapter 1. GENERAL PROVISIONS

1. The concepts and terms used in this Instruction are identical to the concepts and terms defined by the legislation of the Republic of Kazakhstan and other internal documents of the Clearing Centre and the Exchange.
2. In the trading and clearing and/or accounting systems of the Clearing Centre, the following codes are assigned and used for clearing participants and other counterparties that are not members of the Exchange (hereinafter referred to as clients):
   1) client code;
   2) registration number;
   3) account codes;
   4) code of the trading and clearing system user.
3. Codes are conventional designations of clients and are used in the trading and clearing system and/or accounting systems, as well as when the Clearing Centre disseminates information about clients in order to ensure its brevity and convenience of presentation.
4. The assignment of codes to clients specified in subitems 1) and 2) of item 2 is carried out by the division of the Clearing House that monitors and supports clearing participants (hereinafter referred to as the responsible division), in accordance with this Instruction.
5. The codes specified in subitems 3)–4) of item 2 shall be assigned by the Exchange in accordance with the procedure established by the Exchange for the relevant codes in accordance with its internal document regulating the procedure for assigning codes to members of the Exchange and their accounts, users of trading systems, issuers of securities and financial instruments.

Chapter 2. CLIENT CODE

6. The client code, which is an abbreviated designation of the client's name, is assigned by the responsible department using the appropriate accounting system software when creating the client's file.
7. The client code consists of five characters, each consisting of capital letters of the Latin alphabet that sound similar to the client's name. The underscore symbol "_" (starting from the second position on the left) may be used.

   If the client is a settlement agent, including one that was the registering exchange (hereinafter referred to as the settlement agent), it is assigned a six-character code. The first four characters of the code represent the unique international identification code of the registering exchange in accordance with the international standard ISO 10383 Codes for exchanges and market identification (MIC), the last two characters have a fixed meaning, for example "TH", which is an abbreviation for Tabadul Hub.

8. If clients of different legal forms have the same or similar names, the codes may use letters reflecting the legal form of the clients.
9. It is not permitted to assign identical or confusingly similar codes to different clients.
10. When changing the client's name, the code previously assigned to it does not change.

Chapter 3. CLIENT REGISTRATION NUMBER

11. Clearing participants, as well as settlement agents, are automatically assigned a registration number when the responsible division creates a client file, consisting of three digits:
12. Registration numbers are assigned sequentially within the appropriate range:

    clearing participants – from 500 to 949;

    settlement agents – from 950 to 999.

Chapter 4. ACCOUNS CODE

13. The Clearing Centre shall open clearing accounts for a clearing participant in cases stipulated by the internal document "Rules of conduct of clearing activities under transactions with financial instruments" and other internal documents of the Clearing Centre, based on an application for assigning the status of a clearing participant to it.

    Clearing accounts in the trading, clearing and/or accounting systems of the Exchange are assigned corresponding account codes in accordance with the procedure determined by its internal document.

Chapter 5. TRADING AND CLEARING SYSTEM USER CODE

14. Based on the request of the Clearing Centre, sent in connection with the submission by a candidate for clearing participant who is not a member of the Exchange of an application to obtain an account in the Exchange trading system, such user is assigned a user code for the trading and clearing system by the Exchange in accordance with the procedure determined by its internal document.

Chapter 6. FINAL PROVISIONS

15. Responsibility for the timely introduction of changes and/or additions (updating) to this Instruction rests with the responsible unit.
16. This Instruction is subject to revision for the need for updating at least once every three years, calculated from the date of entry into force of this Instruction, and in the event of its updating - from the date of entry into force of the latest changes and (or) additions.

Chairperson of the Management Board **N. Khoroshevskaya**